

REPORT TO:	GENERAL PURPOSES AND AUDIT COMMITTEE 23 September 2015
AGENDA ITEM:	9
SUBJECT:	Corporate Risk Register
LEAD OFFICER:	Assistant Chief Executive & S151 Officer
CABINET MEMBER	Councillor Simon Hall, Cabinet Member for Finance and Treasury
WARDS:	All
CORPORATE PRIORITY/POLICY CONTEXT:	
<p>This report presents the corporate risk register as at September 2015 as part of the General Purposes and Audit Committee's role of overseeing the risk management framework and receiving assurance that significant corporate (Red) risks are identified and mitigated by the organisation. This process will ensure that the risk management function will continue to contribute to the achievement of the Council's vision, key priorities and objectives.</p> <p>In line with the Council's commitment to openness and transparency, the corporate risk report will appear in Part A of the agenda unless there is specific justification for any individual entries being considered under Part B (set out under Paragraph 3 of Schedule 12A of the Local Government Act 1972 as amended).</p>	
FINANCIAL SUMMARY: No direct financial implications.	
FORWARD PLAN KEY DECISION REFERENCE NO.: N/A	

1.1 RECOMMENDATIONS

The Committee is asked to:

Note the contents of the corporate risk register as at September 2015.

2. EXECUTIVE SUMMARY

2.1 The report updates the General Purposes & Audit Committee Members on the corporate risk register (the register) as at September 2015.

3. DETAIL

Risk Register Report

3.1 The register presented details all the current corporate risks rated at a total risk score of 20 and above (Red Risks).

Following review by divisional management teams and Department Leadership Teams there have been a number of changes in relation to reported corporate red risks (Appendix 1).

In particular, changes made since the register was last considered in full by General Purposes & Audit Committee 25th March 2015 are as follows:

Risks Escalated:

RCSCFS0080: The Council being able to contain its in year (15/16) spending within the funding envelope. This particularly applies to the People Department being able to contain its in year spending

CFLSCF0014: Cost pressures arising from external social care placements for Looked After Children.

CFLSCF0024: In year budget pressures in relation to SEN Transport

CYPL0040: Home Office reduction to grant payable to Croydon Council (circa £4 million 15/16) in respect of support to unaccompanied asylum seekers

DASHYA0050: In year budget pressures relating to adult care packages/cost of services

DASHYA0041: Risk of disruption to delivery of Outcomes Based Commissioning

DASHHN0037: Reduction in social rent over 4 years from 16/17 impacting on the HRA.

Risks De-escalated (to High Amber)

CFLSCF0005 (Ian Lewis): Development and embedding of improvement in children's social care.

Narrative: The service continues to show evidence of improvement in terms of the majority of national and local indicators. The Croydon Challenge project on Children in Need, is designed to address these issues by designing new services at the higher end of Early Help. Recruitment and retention has improved but the level of caseloads on social workers continues to put this improvement at a level of risk (high amber). Numbers of local Children Looked After have also reduced in the past year.

DASHPD0008 (Pratima Solanki): Implementation of the Care Act:

Narrative: This risk has been de-escalated to Amber. Whilst there are still financial

and organisational challenges associated with the Care Act, the main element of the programme, namely the introduction of the funding cap for care costs, has now been deferred by central government until 2020.

3.2 In line with the Council's commitment to openness and transparency, the register will appear with the corporate risk report in Part A of the agenda unless, in accordance with the Access to Information Procedure Rules in the Council's Constitution there is specific justification for any individual entries being considered under Part B (set out under Paragraph 3 of Schedule 12A of the Local Government Act 1972 as amended).

3.3 It should be noted that some of the grounds for exemption from public access are absolute. However, for others such as that in para.3, 'Information relating to the financial or business affairs of any particular person (including the authority holding that information)', deciding in which part of the agenda they will appear, is subject to the further test of whether, in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

4. FINANCIAL CONSIDERATIONS

4.1 There are no financial considerations arising from this report.

(Approved by Dianne Ellender, Deputy S151 Officer)

5. COMMENTS OF THE COUNCIL SOLICITOR AND MONITORING OFFICER

5.1 The Council Solicitor advises that there are no additional legal considerations arising from this report.

(Approved by: Gabriel MacGregor, Head of Corporate Law, on behalf of the Council Solicitor and Monitoring Officer)

6. HUMAN RESOURCES IMPACT

6.1 There are no Human Resources implications in relation to this issue.

(Approved by Heather Daley, Head of HR Consultancy)

7. EQUALITIES, ENVIRONMENTAL AND CRIME AND DISORDER REDUCTION IMPACTS

7.1 None

8. RISK ASSESSMENT

8.1 No further risk issues other than those detailed in the report.

9. FREEDOM OF INFORMATION/DATA PROTECTION CONSIDERATIONS

9.1 Information contained in the Council’s Risk register or held in relation to the Council’s risk management procedures may be accessible under the Freedom of Information Act subject to the application of any relevant exemptions, such as commercial sensitivity and whether disclosure was in the ‘public interest’.

CONTACT OFFICER: Malcolm Davies,
Head of Risk & Corporate Programme Office
Ext 50005

BACKGROUND DOCUMENTS: None